

Hazardous Substance Superfund Trust Fund

68X8145

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Hazardous Substance Superfund Trust Fund 68X8145 Footnotes

Balance Sheet

1. Details about principal holdings and investment transaction data can be viewed at

<http://www.treasurydirect.gov/govt/reports/fip/acctstmt/acctstmt.htm>

Income Statement

1. Interest on Investments is reported on the accrual basis. Includes interest collected, premium paid, and accrued interest purchased.

Interest on investments cash basis:		<u>Current Month</u>		<u>Year-to-Date</u>
<i>Hazardous Substance Superfund Trust Fund</i>	\$	23,243,540.15	\$	46,954,333.11

2. Expenses represent current year appropriations from the trust fund and may not represent actual transfers from the trust fund.
3. Due to system changes you will no longer see cost center detail for 4394 on the Budgetary Trial Balance.

Hazardous Substance Superfund Trust Fund
68X8145
Trial Balance - Unaudited
September 1, 2013 through September 30, 2013

Period Name:2013-12		Fund:TFM8145DEXXXXX	Program:<All>			
USSGL	Cost Center	USSGL / Cost Center Description	Beginning Balance	Period Net Dr	Period Net Cr	Ending Balance
1010	-	FUND BALANCE WITH TREASURY	6,445.39	13,819,798,054.86	13,820,237,633.55	-433,133.30
1340	-	INTEREST RECEIVABLE - NOT OTHERWISE CLASSIFIED	0.00	0.00	0.00	0.00
1342	-	INTEREST RECEIVABLE - INVESTMENTS	23,232,872.85	3,861,327.74	23,243,540.15	3,850,660.44
1610	-	INVESTMENTS IN U.S TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	3,292,416,517.99	13,661,885,944.70	13,767,301,643.25	3,187,000,819.44
1611	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	-2,244,582.22	0.00	0.00	-2,244,582.22
1612	-	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	87,279,527.53	0.00	0.00	87,279,527.53
1613	-	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	-57,650,262.23	52,193.65	1,747,292.81	-59,345,361.39
2150	TFMA57651100	TRANSFER TO EPA	-2,848,878,385.27	253,213,235.97	122,141,742.00	-2,717,806,891.30
	TFMA57654200	TRANSFERS TO EPA - SPECIAL INTEREST	-294,934,821.53	6,665,582.82	0.00	-288,269,238.71
	TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	-10,795,225.24	15,555,735.73	18,277,240.17	-13,516,729.68
	TFMA57654500	TRANSFERS TO EPA - RECOVERY ACT	-9,248,492.00	0.00	0.00	-9,248,492.00
	Sum		-3,163,856,924.04	275,434,554.52	140,418,982.17	-3,028,841,351.69
3310	-	CUMULATIVE RESULTS OF OPERATIONS	-135,444,594.55	0.00	0.00	-135,444,594.55
5311	TFMA53110010	INTEREST ON INVESTMENTS	-21,643,301.26	1,747,292.81	3,913,521.39	-23,809,529.84
5320	TFMA53200100	FINES & PENALTIES (.001), (.003)	-3,444,943.07	42,449.70	75,501.30	-3,477,994.67
5750	TFMA57502300	GF TRANSFER FROM EPA (.005)*, (.040)*	-1,095,945,619.00	8,857,417.31	0.00	-1,087,088,201.69
5765	TFMA57651100	TRANSFER TO EPA	1,084,118,001.00	249,141,742.00	269,342,300.00	1,063,917,443.00
	TFMA57654200	TRANSFERS TO EPA - SPECIAL INTEREST	8,277,833.12	11,029,064.03	6,665,582.82	12,641,314.33
	TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	18,668,564.39	40,729,062.01	38,370,570.35	21,027,056.05
	Sum		1,111,064,398.51	300,899,868.04	314,378,453.17	1,097,585,813.38
5800	TFMA58003200	CORPORATE ENVIRONMENTAL	-45,682.00	0.00	0.00	-45,682.00
5900	TFMA59000800	COST RECOVERIES (.004)	-33,723,853.90	0.00	1,262,535.54	-34,986,389.44
	TOTAL		0.00	28,072,579,103.33	28,072,579,103.33	0.00

**Hazardous Substance Superfund Trust Fund
68X8145
Balance Sheet - Unaudited
September 30, 2013**

Period Name:2013-12	Fund:TFM8145DEXXXXXX	Program:TFMA81450
Account Type	Account Description	Ending Balance
ASSETS	FUND BALANCE WITH TREASURY	-433,133.30
	INTEREST RECEIVABLE - INVESTMENTS	3,850,660.44
	INVESTMENTS IN U.S TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	3,187,000,819.44
	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	-2,244,582.22
	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	87,279,527.53
	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	-59,345,361.39
		3,216,107,930.50
		Total: 3,216,107,930.50
LIABILITIES	PAYABLE FOR TRANSFERS OF CURRENTLY INVESTED BALANCES	-3,028,841,351.69
		-3,028,841,351.69
EQUITY	CUMULATIVE RESULTS OF OPERATIONS	-135,444,594.55
	NET INCOME	-51,821,984.26
		-187,266,578.81
		Total: -3,216,107,930.50

**Hazardous Substance Superfund Trust Fund
68X8145
Income Statement - Unaudited
October 1, 2012 through September 30, 2013**

Period Name:2013-12	Fund:TFM8145DEXXXXX	Program:TFMA81450
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Revenue Type	USSGL	Ussgl Description	Cost Center	Cost Center Description	Activity	Ending Balance	
INCOME	5800	TAX REVENUE COLLECTED - NOT OTHERWISE CLASSIFIED	TFMA58003200	CORPORATE ENVIRONMENTAL	0.00	-45,682.00	
	5750	EXPENDITURE FINANCING SOURCES - TRANSFERS-IN	TFMA57502300	GF TRANSFER FROM EPA (.005)*, (.040)*	8,857,417.31	-1,087,088,201.69	
	5311	INTEREST REVENUE - INVESTMENTS - NON EXCHANGE	TFMA53110010	INTEREST ON INVESTMENTS	-2,166,228.58	-23,809,529.84	
	5320	PENALTIES AND FINES REVENUE - NON EXCHANGE	TFMA53200100	FINES & PENALTIES (.001), (.003)	-33,051.60	-3,477,994.67	
	5900		OTHER REVENUE - EXCHANGE	TFMA59000800	COST RECOVERIES (.004)	-1,262,535.54	-31,439,628.82
			OTHER REVENUE - NON-EXCHANGE	TFMA59000800	COST RECOVERIES (.004)	0.00	-3,546,760.62
	Sum	Sum				-1,262,535.54	-34,986,389.44
Sum					5,395,601.59	-1,149,407,797.64	
EXPENSES	5765	NONEXPENDITURE FINANCING SOURCES - TRANSFERS-OUT - OTHER	TFMA57651100	TRANSFER TO EPA	-20,200,558.00	1,063,917,443.00	
			TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	2,358,491.66	21,027,056.05	
			TFMA57654200	TRANSFERS TO EPA - SPECIAL INTEREST	4,363,481.21	12,641,314.33	
	Sum	Sum				-13,478,585.13	1,097,585,813.38
Sum					-13,478,585.13	1,097,585,813.38	
TOTAL					-8,082,983.54	-51,821,984.26	

**Hazardous Substance Superfund Trust Fund
68X8145
Budgetary Trial Balance - Unaudited
October 1, 2012 through September 30, 2013**

Fund:TFM8145DEXXXXXX

USSGL	BEA Category	Year of BA	Cost Center	USSGL / Cost Center Description	FY Beginning Balance	FY Activity	FY Ending Balance
1010	-	-	-	FUND BALANCE WITH TREASURY	1,723,718.43	-2,156,851.73	-433,133.30
	Sum				1,723,718.43	-2,156,851.73	-433,133.30
1610	-	-	-	INVESTMENTS IN U.S TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	3,250,200,439.68	-63,199,620.24	3,187,000,819.44
	Sum				3,250,200,439.68	-63,199,620.24	3,187,000,819.44
1611	-	-	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	0.00	-2,244,582.22	-2,244,582.22
	Sum				0.00	-2,244,582.22	-2,244,582.22
4114	D	-	TFMA53110010	INTEREST ON INVESTMENTS	0.00	46,954,333.11	46,954,333.11
			TFMA53200100	FINES & PENALTIES (.001), (.003)	0.00	3,477,994.67	3,477,994.67
			TFMA57502300	GF TRANSFER FROM EPA (.005)*, (.040)*	0.00	1,087,088,201.69	1,087,088,201.69
			TFMA58003200	CORPORATE ENVIRONMENTAL	0.00	45,682.00	45,682.00
			TFMA59000800	COST RECOVERIES (.004)	0.00	34,986,389.44	34,986,389.44
			XXXXXXXXXXXX	DEFAULT CAM1	0.00	-12,641,314.33	-12,641,314.33
	Sum BEA				0.00	1,159,911,286.58	1,159,911,286.58
	M	-	TFMA53200100	FINES & PENALTIES (.001), (.003)	0.00	0.00	0.00
			TFMA59000800	COST RECOVERIES (.004)	0.00	0.00	0.00
			XXXXXXXXXXXX	DEFAULT CAM1	0.00	12,641,314.33	12,641,314.33
	Sum BEA				0.00	12,641,314.33	12,641,314.33
	Sum				0.00	1,172,552,600.91	1,172,552,600.91
4127	D	-	TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	-18,243,328.73	4,726,599.05	-13,516,729.68
			TFMA57654500	TRANSFERS TO EPA - RECOVERY ACT	-9,248,492.00	0.00	-9,248,492.00
	Sum BEA				-27,491,820.73	4,726,599.05	-22,765,221.68
					-27,491,820.73	4,726,599.05	-22,765,221.68
Sum					-27,491,820.73	4,726,599.05	-22,765,221.68
4129	D	-	TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	0.00	-25,753,655.10	-25,753,655.10
Sum					0.00	-25,753,655.10	-25,753,655.10
4166	D	-	TFMA57651100	TRANSFER TO EPA	-2,857,260,384.27	139,453,492.97	-2,717,806,891.30
			TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	0.00	0.00	0.00
	Sum BEA				-2,857,260,384.27	139,453,492.97	-2,717,806,891.30
	M	-	TFMA57654200	TRANSFERS TO EPA - SPECIAL INTEREST	-286,656,988.41	-1,612,250.30	-288,269,238.71
					-3,143,917,372.68	137,841,242.67	-3,006,076,130.01
	Sum				-3,143,917,372.68	137,841,242.67	-3,006,076,130.01
4167	D	-	TFMA57651100	TRANSFER TO EPA	0.00	-1,203,370,935.97	-1,203,370,935.97
		M	TFMA57651100	TRANSFER TO EPA	0.00	-11,029,064.03	-11,029,064.03
					0.00	-1,214,400,000.00	-1,214,400,000.00
	Sum				0.00	-1,214,400,000.00	-1,214,400,000.00
4168	D	-	TFMA57651100	TRANSFER TO EPA	0.00	-76,070,871.00	-76,070,871.00
	Sum				0.00	-76,070,871.00	-76,070,871.00
4201	-	-	-	TOTAL ACTUAL RESOURCES - COLLECTED	3,251,924,158.11	0.00	3,251,924,158.11
	Sum				3,251,924,158.11	0.00	3,251,924,158.11
4382	D	-	TFMA57651100	TRANSFER TO EPA	0.00	0.00	0.00
	Sum				0.00	0.00	0.00
4384	D	-	XXXXXXXXXXXX	DEFAULT CAM1	-221,860.00	221,860.00	0.00
	Sum				-221,860.00	221,860.00	0.00
4394	D	-	-	RECEIPTS UNAVAILABLE FOR OBLIGATION UPON COLLECTION	-80,293,104.70	882,223.47	-79,410,881.23
	Sum				-80,293,104.70	882,223.47	-79,410,881.23

**Hazardous Substance Superfund Trust Fund
68X8145
FACTS II Trial Balance - Unaudited
October 1, 2012 through September 30, 2013**

Fund:TFM8145DEXXXXXX

USSGL	BEA Category	Year of BA	FY Beginning Balance	FY Activity	FY Ending Balance
1010	-	-	1,723,718.43	-2,156,851.73	-433,133.30
1610	-	-	3,250,200,439.68	-63,199,620.24	3,187,000,819.44
1611	-	-	0.00	-2,244,582.22	-2,244,582.22
			3,251,924,158.11	-67,601,054.19	3,184,323,103.92
4114	D	-	0.00	1,159,911,286.58	1,159,911,286.58
	M	-	0.00	12,641,314.33	12,641,314.33
	Sum		0.00	1,172,552,600.91	1,172,552,600.91
4127	D	-	-27,491,820.73	4,726,599.05	-22,765,221.68
4129	D	-	0.00	-25,753,655.10	-25,753,655.10
4166	D	-	-2,857,260,384.27	139,453,492.97	-2,717,806,891.30
	M	-	-286,656,988.41	-1,612,250.30	-288,269,238.71
	Sum		-3,143,917,372.68	137,841,242.67	-3,006,076,130.01
4167	D	-	0.00	-1,203,370,935.97	-1,203,370,935.97
	M	-	0.00	-11,029,064.03	-11,029,064.03
	Sum		0.00	-1,214,400,000.00	-1,214,400,000.00
4168	D	-	0.00	-76,070,871.00	-76,070,871.00
4201	-	-	3,251,924,158.11	0.00	3,251,924,158.11
4382	D	-	0.00	0.00	0.00
4384	D	-	-221,860.00	221,860.00	0.00
4394	D	-	-80,293,104.70	882,223.47	-79,410,881.23
			0.00	0.00	0.00

Hazardous Substance Superfund Trust Fund
68X8145
Attest Trial Balance - Unaudited
September 1, 2013 through September 30, 2013

Period Name:2013-12		Fund:TFM8145DEXXXXX	Program:<All>							
USSGL	Cost Center	USSGL / Cost Center Description	Beginning Balance	Period Net Dr	Period Net Cr	Ending Balance	Attest Adj Dr	Attest Adj Cr	Ending Attest Balance	
1010	-	FUND BALANCE WITH TREASURY	6,445.39	13,819,798,054.86	13,820,237,633.55	-433,133.30			-433,133.30	
1342	-	INTEREST RECEIVABLE - INVESTMENTS	23,232,872.85	3,861,327.74	23,243,540.15	3,850,660.44			3,850,660.44	
1610	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	3,292,416,517.99	13,661,885,944.70	13,767,301,643.25	3,187,000,819.44			3,187,000,819.44	
1611	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	-2,244,582.22	0.00	0.00	-2,244,582.22			-2,244,582.22	
1612	-	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	87,279,527.53	0.00	0.00	87,279,527.53			87,279,527.53	
1613	-	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE FISCAL SERVICE	-57,650,262.23	52,193.65	1,747,292.81	-59,345,361.39			-59,345,361.39	
2150	TFMA57651100	TRANSFER TO EPA	-2,848,878,385.27	253,213,235.97	122,141,742.00	-2,717,806,891.30	2,717,806,891.30		0.00	
	TFMA57654200	TRANSFERS TO EPA - SPECIAL INTEREST	-294,934,821.53	6,665,582.82	0.00	-288,269,238.71	288,269,238.71		0.00	
	TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	-10,795,225.24	15,555,735.73	18,277,240.17	-13,516,729.68	13,516,729.68		0.00	
	TFMA57654500	TRANSFERS TO EPA - RECOVERY ACT	-9,248,492.00	0.00	0.00	-9,248,492.00	9,248,492.00		0.00	
	Sum		-3,163,856,924.04	275,434,554.52	140,418,982.17	-3,028,841,351.69	3,028,841,351.69	0.00	0.00	
3310	-	CUMULATIVE RESULTS OF OPERATIONS PROGRAM AGENCY EQUITY	-135,444,594.55	0.00	0.00	-135,444,594.55	3,028,841,351.69	2	-278,012,436.27	
5311	TFMA53110010	INTEREST ON INVESTMENTS	-21,643,301.26	1,747,292.81	3,913,521.39	-23,809,529.84		3,171,409,193.41	-3,028,841,351.69	
5320	TFMA53200100	FINES & PENALTIES (.001), (.003)	-3,444,943.07	42,449.70	75,501.30	-3,477,994.67		3,028,841,351.69	-23,809,529.84	
	TFMA53200800	MOTOR CARRIER FINES & PENALTIES	0.00	0.00	0.00	0.00			-3,477,994.67	
	Sum		-3,444,943.07	42,449.70	75,501.30	-3,477,994.67	3,028,841,351.69	6,200,250,545.10	-3,174,887,188.08	
5750	TFMA57502300	GF TRANSFER FROM EPA (.005)*, (.040)*	-1,095,945,619.00	8,857,417.31	0.00	-1,087,088,201.69			-1,087,088,201.69	
	TFMA57504900	EPA GF TRANSFER - RECOVERY ACT	0.00	0.00	0.00	0.00			0.00	
	Sum		-1,095,945,619.00	8,857,417.31	0.00	-1,087,088,201.69	0.00	0.00	-1,087,088,201.69	
5765	TFMA57651100	TRANSFER TO EPA	1,084,118,001.00	249,141,742.00	269,342,300.00	1,063,917,443.00	2,857,260,384.27		1,203,370,935.97	
	TFMA57652400	TRANSFERS TO CDC	0.00	0.00	0.00	0.00			0.00	
	TFMA57654200	TRANSFERS TO EPA - SPECIAL INTEREST	8,277,833.12	11,029,064.03	6,665,582.82	12,641,314.33	286,656,988.41	288,269,238.71	11,029,064.03	
	TFMA57654300	TRANSFERS TO EPA - FY APPROPRIATIONS	18,668,564.39	40,729,062.01	38,370,570.35	21,027,056.05	18,243,328.73	13,516,729.68	25,753,655.10	
	TFMA57654500	TRANSFERS TO EPA - RECOVERY ACT	0.00	0.00	0.00	0.00	9,248,492.00	9,248,492.00	0.00	
	TFMA57655500	TRANSFER FROM HAZARDOUS	0.00	0.00	0.00	0.00			0.00	
	Sum		1,111,064,398.51	300,899,868.04	314,378,453.17	1,097,585,813.38	3,171,409,193.41	3,028,841,351.69	1,240,153,655.16	
5766	TFMA57660100	CAPITAL TRANSFERS, EPA	0.00	0.00	0.00	0.00			0.00	
5800	TFMA58003200	CORPORATE ENVIRONMENTAL	-45,682.00	0.00	0.00	-45,682.00			-45,682.00	
5900	TFMA59000800	COST RECOVERIES (.004)	-33,723,853.90	0.00	1,262,535.54	-34,986,389.44			-34,986,389.44	
TOTAL			0.00	28,072,579,103.33	28,072,579,103.33	0.00	9,229,091,896.79	9,229,091,896.79	0.00	

- 1 To reverse the FY 2012 year end payable figure to convert the expenses into actual cash basis figures. 3,171,409,193.41
- 2 To reverse the current payable to convert the expenses into actual cash basis figures. 3,028,841,351.69
- 3 To reclassify the current payable as "Program Agency Equity". 3,028,841,351.69

Hazardous Substance Superfund Trust Fund
68X8145
Schedule of Assets Liabilities - Unaudited
September 30, 2013

ASSETS

Fund Balance with Treasury	\$	(433,133.30)
Interest Receivable		3,850,660.44
Other Receivable		0.00
Investments (Net)		<u>3,212,690,403.36</u>
<i>TOTAL ASSETS</i>	\$	<u><u>3,216,107,930.50</u></u>

LIABILITIES

Program Agency Equity:		
Available	\$	3,028,841,351.69
Other		<u>187,266,578.81</u>
<i>TOTAL LIABILITIES</i>	\$	<u><u>3,216,107,930.50</u></u>

**Hazardous Substance Superfund Trust Fund
68X8145
Schedule of Activity - Unaudited
For the Period October 1, 2012 through September 30, 2013**

REVENUES

Interest Revenue	\$ 23,809,529.84
Penalties, Fines, and Administrative Fees	3,477,994.67
Tax Revenue	45,682.00
Transfers in from Program Agencies	1,087,088,201.69
Cost Recoveries	<u>34,986,389.44</u>
Total Revenues	\$ <u>1,149,407,797.64</u>

DISPOSITION OF REVENUES

Transfers to Program Agencies	<u>\$ 1,240,153,655.10</u>
Total Disposition of Revenues	\$ <u>1,240,153,655.10</u>
<i>NET INCREASE / (DECREASE) IN PROGRAM AGENCY EQUITY</i>	\$ <u><u>(90,745,857.46)</u></u>